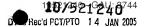
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PATENT Docket No. 37960-000105/US

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s):

Hans-Dietrich SULZER

Int'l Application No.:

PCT/CH/03/00408

Application No.:

NEW APPLICATION

Filed:

January 14, 2005

For:

METHOD FOR PRODUCING HEAT EXCHANGER ELEMENTS, HEAT EXCHANGER ELEMENTS AND METHOD FOR ASSEMBLING SUCH ELEMENTS

INFORMATION DISCLOSURE STATEMENT (SUBMISSION CONCURRENT WITH THE FILING OF A NEW PATENT APPLICATION)

U.S. Patent and Trademark Office 220 20th Street S. Customer Window - Mail Stop <u>PCT</u> Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202 January 14, 2005

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- This application is a National Phase of a PCT application. All of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should be forwarded from the International Search Authority. If copies are needed, please contact the undersigned.

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				New PCT National Phase Application
				Docket No. 37960-000105/US
	Because the present application is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.			
III.	CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)			
	a.		DOCUMENTS IN THE E	NGLISH LANGUAGE
			The attached patents, public language do not require a st	cations, or other information in the English atement of relevancy.
	b.	\boxtimes	DOCUMENTS NOT IN T	HE ENGLISH LANGUAGE

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

Many of the documents have been discussed in the PCT Search Report, the PCT Preliminary Examination Report, and/or throughout the specification. The PCT Search Report indicate the degree of relevance found by the PCT Office, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

c. ENGLISH LANGUAGE SEARCH REPORT

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

d. 🛛 OTHER

The following additional information is provided for the Examiner's consideration.

International Search Reports.

New PCT National Phase Application Docket No. 37960-000105/US

FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 08-0750.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKEY & PIERCE, P.L.C.

By:

onald J. Daley, Reg. No. 34,313

DJD/smk

P.O. Box 8910 Reston, Virginia 20195 (703) 668-8000

Enclosures:

Form PTO-1449(s)

 10521240 - GAU: 3744

EFS-Web Receipt date: 01/14/2005 DT15 Rec'd PET/PTO 1 4 JAN 2005 APPLICATION NO! U Form PTO-1449 ATTY DOCKET NO. 37960-000105/US NEW APPLICATION INFORMATION DISCLOSURE CITATION CONF. NO. APPLICANT(S) IN AN APPLICATION Hans-Dietrich SULZER Unknown (Use several sheets if necessary) FILING DATE GROUP January 14, 2005 Unknown U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE NAME FILING DATE INITIAL. CTASS IE ADDDODDIATE FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS CIACE /LC/ NL 1 016 946 06/25/2002 NETHERLANDS No CH 606 937 11/30/1978 SWITZERLAND No CA 1 150 722 07/26/1983 CANADA Yes DE 200 11 751 U1 10/12/2000 GERMANY No DE 201 16 155 U1 03/07/2002 GERMANY No DE 26 21 938 11/24/1977 GERMANY No DE 37 13 203 A1 10/27/1988 GERMANY No DE 41 31 797 A1 04/01/1993 GERMANY No DE 199 22 233 C1 07/09/2000 GERMANY No EP 0 997 586 A1 05/03/2000 GERMANY No EP 1 134 504 A2 09/19/2001 EUROPE Yes EP 1 248 047 A2 10/09/2002 EUROPE No /I C EP 1 004 827 A1 05/31/2000 EUROPE Yes OTHER DOCUMENTS (Include Name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the I rage(s), valume-issue number(s), publisher, city and/or cauntry where published.

DATE CONSIDERED

EXAMINER: Initial if criation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicament

06/22/2008

DJD/smk

EXAMINER

/Ljiljana Ciric/ (06/22/2008)